# BIENNIAL REPORT TO THE GOVERNOR

BIENNIUM ENDING JUNE 30, 2020

# NEVADA SECRETARY OF STATE BARBARA K. CEGAVSKE



SEPTEMBER 15, 2020



#### OFFICE OF THE SECRETARY OF STATE

BARBARA K. CEGAVSKE Secretary of State

September 15, 2020

The Honorable Steve Sisolak Governor of Nevada 101 N. Carson Street, Suite 1 Carson City, NV 89701

Dear Governor Sisolak,

The Attached Biennial Report includes narrative and exhibits detailing the accomplishments of the Secretary of State's office during state fiscal years 2019 and 2020, as well as a summary of the general business of the office during that time.

The accomplishments of the Secretary of State's office over the fifth and sixth years of this administration could not have occurred without the dedicated deputies and staff that make up our team and the cooperative spirit within the office and the other agencies with whom we work.

Among the many duties of the Secretary of State, the Elections Division continues to receive the most attention. As Nevada's Chief election official, I am tasked with maintaining Nevada's reputation for fair, impartial, accurate and secure elections. The Secretary of State has met the challenges of election security and misinformation, while ensuring that all eligible Nevadans have the opportunity to register and exercise their right to vote, regardless of the method.

Automatic Voter Registration was implemented successfully, as was Same Day Voter Registration. The Secretary of State enhanced the Effective Absentee System for Elections (EASE) to include voting assistance for individuals with disabilities. The 2020 primary election was successfully conducted as a mainly mail-in election due to uncertainties and limitations related to the COVID-19 pandemic. This was accomplished within tight timeframes and budgets.

The Secretary of State's Commercial Recordings Division assists its customers with a wide variety of business filings and related services. The development and implementation of the replacement for the office's internal and online commercial filing systems continues - consolidating forms and providing online services for nearly every commercial filing processed by the office. The new system also modernizes the previous paper-based notary public application process. It is important to note that

staff reductions have significantly affected the Secretary of State's ability to stay up to date with incoming mail, email, and phone calls.

SilverFlume, Nevada's Business Portal, continues to provide customers online access to various state and local licensing and registration filings and information. SilverFlume staff worked hand-in-hand with our Commercial Recordings and Information Technology staff during the development and implementation of the new Commercial Recording filing system. They continue to support and enhance SilverFlume to better serve our customers.

The Securities Division continues its dedicated work to protect Nevada's Investors through the licensing and oversight of Nevada's investment advisors and dealer brokers. Members of our Securities team make investor education and outreach a priority and often participate in workshops and civic events to inform Nevadans about potential investment risks. In addition to investigating violations of Nevada's securities law, our criminal investigators assist our Elections Division with election-related issues. The Division also administers the Revised Athletes Agents Act.

The Nevada Lockbox, Domestic Partnership and Document Preparation Services continue to expand as awareness of these programs grows. The Division participates in significant outreach efforts to those communities and citizens most impacted by these services. Document Preparation Service registration and compliance efforts help protect against those who might take advantage of Nevada citizens.

Through extensive discussion and planning, following state and federal guidance, the Secretary of State's office was able to continue to provide services to our customers during the COVID-19 pandemic and the resulting Declaration of Emergency. We have submitted our budget with the 19% cuts you had requested for fiscal year 2021.

Many staff continue to work remotely, while others are working in the office following mask and distancing protocols, with in-person services remaining suspended. We could not have continued to provide Secretary of State services without the dedication of our staff and our team's and systems' ability to adapt to the challenges presented to our office and our customers.

It is my honor to serve as Nevada's 17<sup>th</sup> Secretary of State.

Respectfully,

Barbara K. Cegavske
Secretary of State

# OFFICE OF THE SECRETARY OF STATE

# **DUTIES OF OFFICE**

The mission of the Office of Secretary of State is to effectively and efficiently serve the public by performing its statutory duties to ensure the integrity of elections, facilitate business filings, protect consumers against securities fraud, preserve public records, and to promote public awareness and education in these and related areas.

-Nevada State Constitution: Article 5, Section 20

#### The goals of the Office of Secretary of State are to:

- Ensure the integrity of elections and proper disclosure by candidates and elected officials through the effective administration of the state's election laws and to advocate for change where needed;
- Encourage the development and diversification of the state's business community by providing innovative, expeditious and cost-effective services;
- Protect consumers from investment fraud through effective regulation of the securities industry, enforcement of the securities laws, and education of the public;
- Maintain records and information filed with the office and to make that information easily accessible at a reasonable cost;
- Promote civic education through participation in state and local events and outreach to Nevada's educational community, and
- Carry out additional constitutional and statutory duties with equal enthusiasm and professionalism.

With the advent of statehood in 1864, the Nevada Office of the Secretary of State was established as part of the state's executive branch of government. The Secretary of State, Nevada's third highest-ranking constitutional officer, is elected to a four-year term. State constitutional officers are limited to two terms in office. Current Secretary of State Barbara Cegavske is Nevada's 17th Secretary of State and 3rd woman to serve in the office.

The Secretary of State is responsible for maintaining the official records of the acts of the Nevada Legislature and of the executive branches of state government, as prescribed by law. Along with duties established by Nevada Revised Statutes, the Secretary of State is a member of the State Board of Examiners, State Board of Prison Commissioners, Tahoe Regional Planning Agency, Executive Branch Audit Committee, Board of Economic Development, Chair of the State Records Committee, Chair of the Advisory Committee on Participatory Democracy (ACPD), and member of the Complete Count Committee for the 2020 Census.

In addition to Constitutional duties, the Secretary of State serves as Nevada's Chief Elections Officer. In this role, the Secretary of State is responsible for the execution, interpretation and enforcement of federal and state election laws, is the filing officer for statewide elective positions, and is the filing officer for all statewide initiative petitions and referendums.

The Secretary of State's office also receives business entity filings and maintains records for corporations, limited liability companies, limited partnerships, limited liability partnerships, limited liability limited partnerships, business trusts, professional corporations and associations, and rights of publicity; issues State Business Licenses and collects the associated fees; administers SilverFlume, Nevada's Business Portal; administers the Nevada Lockbox, a registry of advance directives and guardianship nominations; administers the registry of domestic partnerships and the registry of ministers; administers the Notary Public Act, Trademark Act, and the Uniform Commercial Code; regulates the securities industry by registering securities, licensing persons who sell them, and enforcing the civil and criminal provisions of state and federal securities law; and licenses and regulates athletes agents.

# OFFICE OF THE SECRETARY OF STATE

#### **EXECUTIVE SUMMARY**

The Secretary of State's office is committed to providing the highest level of service to its customers and the citizens of Nevada through its various divisions and activities including Elections, Commercial Recordings, Nevada Business Portal, Securities, Notary, Nevada Lockbox, Domestic Partnerships and Document Preparation, Customer Service and Operations.

The Elections Division is committed to transparency, security and accuracy in all its practices. As we approach the 2020 general election, the office is making every effort to ensure that all eligible Nevadans are able to register and exercise their right to vote. The Elections Center, found on the Secretary of State's website at www.nvsos.gov, contains valuable information about Nevada's election processes and our Election Night Reporting page, found at silverstateelection.nv.gov, provides election results and other valuable information related to turnout and ballots cast. The continuation of the Election Integrity Task Force allows the office to address potential issues and any matters that might occur on Election Day. We continue to work with all 17 counties to ensure uniform and best practices throughout the election process.

The office oversaw the 2018 primary and general elections with Nevada receiving an overall #14 ranking in election administration on the 2018 Elections Performance Index prepared by the MIT Election Data and Science Lab. All 17 counties in Nevada implemented a new voting system prior to the start of the 2018 election cycle, assisted by an \$8 million allocation from the Nevada Legislature. The office has also overseen the implementation of various legislative changes to election administration in Nevada, including the roll out of automatic voter registration at the Department of Motor Vehicles and the implementation of same-day voter registration.

2019 and 2020 saw a continued focus on election security due to reported attempts by foreign actors to interfere with elections or influence voters. In January 2020, the office was awarded a \$4.8 million election security grant through the Help America Vote Act (HAVA). Together with a separate HAVA election security grant received by the office in FY 2018, the grant funds will be used to strengthen cyber-security in elections on the state and local level, improve oversight and training of local election officials, monitor and standardize election practices, and enhance and maintain the security of state and local election systems. The office also continues to receive Homeland Security Grant Program funds from the State Division of Emergency Management for the monitoring of intrusion detection sensors installed on county election office networks.

The office has also worked to increase the security and reach of the Nevada Effective Absentee System for Elections (EASE) system that allows military and overseas voters better access to voter registration and absentee balloting processes. Beginning with the 2018 general election, all balloting materials produced by EASE are encrypted in order to protect against data alteration during electronic transmission. Additionally, the use of EASE has been extended to voters with disabilities and the EASE website has been redesigned so that it is fully accessible. This allows voters with disabilities the opportunity to vote an absentee ballot privately and independently using the assistive technology they have on their computer.

In July 2019, the Commercial Recordings Division replaced its electronic filing system (eSoS), making approximately 580 filings available online via the SilverFlume Business Portal. The \$9.4 million upgrade accommodates real-time business formations and filings, immediate issuance of the State Business License, and obtaining official and certified copies of recorded documents online.

In fiscal year 2019, the division filed more than 1.3 million records and collected \$186,405,837 in revenue. An average of 79.93% of new formations and annual renewals were filed online compared to in person or by mail. In fiscal year 2020, amidst the COVID-19 pandemic, the Secretary of State closed its offices to in-person services, relying heavily on the online system. The average of new formations and annual renewals filed online increased to 89.10%. Total revenue collected by the division was \$175,424,576 – a \$10.9 million shortfall, which is attributed to a directive issued by the Governor in response to COVID-19 providing for an extension in licensure fees until September 2020 (fiscal year 2021). Between March and June 2020, approximately 54,000 businesses did not renew their business license.

As of June 30, 2019 there were 402,183 active business entities registered to conduct business. As of June 30, 2020, there were 381,570.

The Business Portal Division collaborated with the Commercial Recordings Division to implement a new business licensing system that accommodates both in-house and online filings. The rollout of the new licensing system occurred in July 2019 allowing the business community access to more than 580 online filings via the SilverFlume Business Portal. SilverFlume has recorded more than 500,000 filings with a revenue of over \$165 million since go live of the new system. More than 3.4 million governmental transactions have been completed with revenue of over \$900 million generated by businesses using SilverFlume since it was implemented in 2012. SilverFlume's cross-governmental registrations continue to enhance Nevada's business-friendly environment.

The Notary Division continues to appoint, train and regulate over 25,000 notaries public in Nevada. During Fiscal Years 2019 and 2020, nearly 11,300 notaries public renewed or received new appointments. The Division also issued over 30,000 apostilles. During the 2017 Legislative Session, the Electronic Notary Public Enabling Act was adopted which allows electronic in-person notarial acts and notarial acts by means of audio-visual communication. Permanent regulations related to electronic notarization, including multi-factor identification of the principal, standards for storing and recording the notarial act and specifications of the electronic journal were codified April of 2020.

Document Preparation Services, Nevada Lockbox and Domestic Partnership Program are services provided mainly in our Las Vegas office. The Nevada Lockbox was expanded in 2017 to add a registry for the filing of a nomination of guardian. The Nevada Lockbox now consists of two registries – the Advance Directive Registry and the Guardianship Registry. At the close of Fiscal Year 2020, the Lockbox had 21,108 active registrants and 90 authorized entities with access to the Lockbox. In Document Preparation Services, FY 2020 closed with 1,287 active registrants, up from 870 at the close of FY 2018. More than 65% of registrants are renewing annually. Domestic Partnerships continue to grow with approximately 900 Declarations filed each fiscal year. Total number of active domestic partnerships filed in Nevada is 9,813.

The Operations Division of the Office of Secretary of State continued to manage the important internal functions including accounting, Human Resources (HR), Information Technology (IT), and administrative support to the Executive Team. The accounting component retained responsibility for managing the office's \$20 million annual budget and more than \$200 million in annual revenues realized by the agency. The HR division supported the recruitment, hiring, and support for all 144 approved positions to ensure compliance with NAC 284 and a safe and effective workplace. As the technology backbone of the office, the IT component oversaw the development and maintenance of seventy custom software products including the statewide voter database, the agency's website and the support of over 250 servers. Additionally, the IT team provided oversight and assistance with all electronic systems employed by agency employees such as telephones, web cameras, laptops, and computers.

The Customer Service Division continues to offer the highest level of customer service handling over 350,000 telephone, mail and email inquiries. While the main focus of all Secretary of State staff is customer service, this dedicated group is our first-line handling of a majority of customer inquiries.

Civics education and outreach is an important part of the overall mission of the office. Secretary of State Cegavske and her staff participate in numerous events and presentations throughout the State each year. The team especially appreciates opportunities to meet with students. Secretary Cegavske believes that educating the citizens of Nevada about the responsibilities of civic involvement is the key to vibrant and engaged communities.

#### A note about COVID – 19

This report would not be complete without acknowledging the devastating pandemic that began sweeping the globe at the end of 2019. By March 2020, the agency was fully engaged in assessing and addressing the impacts of COVID-19 across all divisions. As the pandemic progressed, as many as 85% of agency employees were rapidly transitioned to work-from-home status using virtual private networks (VPNs). Through the successful coordination of the staff and an increased level of effort by supervisors at all levels, the agency avoided a total shutdown and continued to operate at nearfull capacity with only in-person services being temporarily suspended. While business operations were able to continue, the financial impacts of COVID-19 were devastating to the State and will continue to impact the agency for the foreseeable future.

# OFFICE OF THE SECRETARY OF STATE

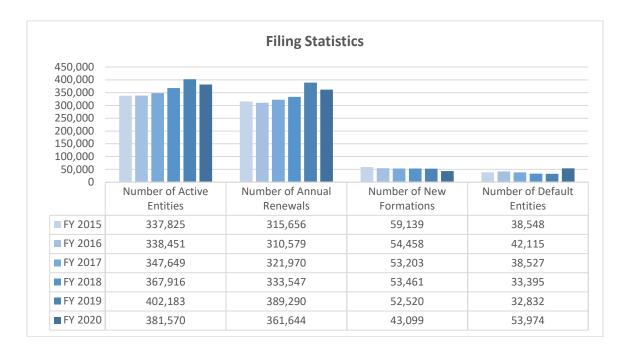
# COMMERCIAL RECORDINGS DIVISION

The Commercial Recordings Division processes and files the organizational and amendatory documents of business entities organized under the laws of the State of Nevada. These entities include for-profit and nonprofit corporations, limited liability companies, limited partnerships, limited liability partnerships, limited liability limited partnerships, general partnerships, sole proprietors, business trusts, and professional corporations and associations. The Division also reviews, files and processes: state business license applications and annual renewals; trademarks, trade names, service marks, and rights of publicity; and Uniform Commercial Code (UCC) financing statements, changes, and lien searches, as well as federal tax liens and utility filings.

In July 2019, the Commercial Recordings Division replaced its electronic filing system (eSoS), making approximately 580 filing types available online via the SilverFlume Business Portal. The \$9.4 million upgrade accommodates real-time business formations and filings and immediate issuance of the State Business License. The Division records over 1.3 million filing transactions annually.

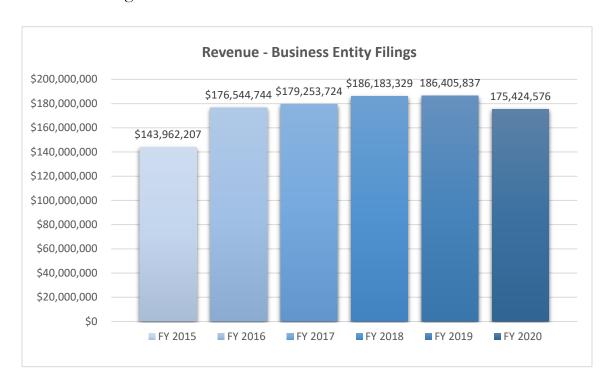
This project provided the Secretary of State's office an opportunity to evaluate the physical filing forms and to consolidate 140 existing forms into just 39, which makes it simpler for non-online users. Most excitingly, this new system also provides immediate online access to official and certified copies of millions of public documents.

Business Entity Statistics Fiscal Years 2015 -2020



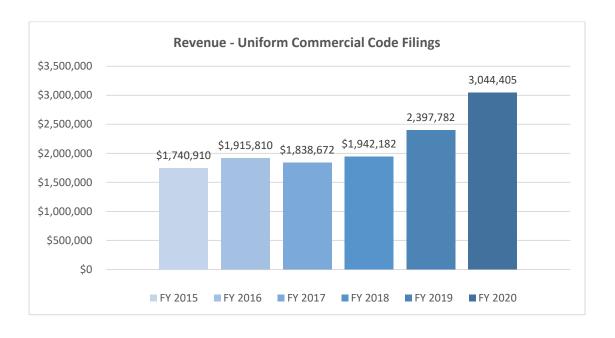
Revenue: Fiscal Years 2015 – 2020

The Commercial Recordings Division generated revenue of \$186.4 million during FY 2019 and \$175.4 million in FY 2020. 100% of this revenue goes to the General Fund.



COVID-19 resulted in a significant fiscal impact to Commercial Recordings revenue. Under a directive issued by Governor Sisolak in response to the pandemic, annual renewal deadlines for businesses were extended 90 – 150 days. The division calculated approximately 54,000 past due renewals between March and June and more than \$10 million dollars in uncollected revenue in FY 2020. In addition, the division observed almost a 1% decrease in new business formations. The impacts of COVID-19 remain largely unknown, but are anticipated to extend into and impact FY 2021. There is potential to see false spikes in revenue based on the expiration of the grace periods, or potentially larger shortfalls based on business closures.

Conversely, UCC revenue significantly increased. UCC receipts totaled \$2.3 million in FY 2019 and \$3 million in FY 2020. Increased UCC filings are typically associated with a stable economy, where businesses are buying more. However, in FY 2020, the division received an abundance of lien filings associated with CARES Act loans distributed to businesses impacted by COVID-19. It is anticipated FY 2021 will also record an increase.



#### COMPLIANCE ENFORCEMENT

The Division investigates complaints related to forged or fraudulent filings and non-compliance with registration and licensing requirements. The findings of our investigations result in resolution through administrative action, or by referral to a regulatory or enforcement agency or to the Attorney General. The majority of the complaints we receive are for businesses operating without a state business license. Those related to forged or fraudulent filings are typically civil disputes among the parties that our office has no authority to resolve and require private legal action through the courts. In calendar year 2019, the office received 262 complaints and resolved 252. In calendar year 2020 (to date), the office received 138 complaints and has resolved 92. Of the 400 cases received, only five (approximately .0125%) were referred to another agency for action.

# OFFICE OF THE SECRETARY OF STATE

# SILVERFLUME

#### **NEVADA'S BUSINESS PORTAL**

Enabled by NRS 75A, SilverFlume is Nevada's One-stop shop business portal (www.nvsilverflume.gov) that consolidates cross-governmental registrations needed to start and manage a Nevada business. Launched in 2012, since then SilverFlume has:

- Processed more than 3.4 million transactions
- Passed through more than \$937 million in revenue

In the FY19 and FY20 Biennium, SilverFlume has:

- Processed more than 900 thousand transactions
- Passed through more than \$300 million in revenue Enrolled more than 175,000 business users
- Integrated with the new Commercial Licensing filing stem to increase online filings from a dozen to almost 600, including Notary, UCC, Trademarks, and Servicemarks.
- Integrated new business license registration with City of Henderson
- Integrated renewal business license registration with City of Fernley
- Re-skinned the SilverFlume user interface to better reflect the great State of Nevada

SilverFlume is consistent with the state plan for economic development, promoting Nevada as business-friendly. SilverFlume has consistently received a majority of positive survey responses (67% user friendly, 15% average, and 18% difficult). With the implementation of the new business licensing system complete, the Business Portal Division will shift focus to additional State Agency and local jurisdiction integration as well as enhancing the user experience. As an initial step to improving the user experience, the Business Portal Division "reskinned" the look and feel of the Portal to better reflect the great State of Nevada. The Business Portal Division works to incorporate direct, and indirect feedback to continuously improve the SilverFlume Business Portal. We continue to partner with the Nevada Department of Business and Industry to guide new business owners to the vast resources available, including the "Business Roadmap" to help make them successful.

#### **GOVERNMENTAL COLLABORATIONS**

The Business Portal Division has established, through cooperative efforts and consultation with representatives of state agencies, local governments, health districts and businesses, the standards and requirements necessary to design, build and implement SilverFlume, Nevada's Business Portal, including collaborations with:

#### **State Agencies**

- Administration, Nevada Department of
- Agriculture, Nevada Department of
- Attorney General, Nevada Office of the

- Business and Industry, Nevada Department of
- Controller's Office, Nevada State
- Economic Development, Nevada Governor's Office of
- Employment, Training and Rehabilitation, Nevada Department of
- Governor, Nevada Office of the
- Health and Human Services, Nevada Department of
- Labor, Nevada Department of
- Motor Vehicles, Nevada Department of
- Public Safety, State of Nevada Department of
- Secretary of State's Office, Nevada
- State Treasurer, Nevada Office of
- Taxation, Nevada Department of
- Veterans Services, Nevada Department of

## Municipalities

- Boulder City
- Caliente, City of
- Carlin, City of
- Carson City
- Elko, City of
- Fernley, City of
- Gardnerville, Town of

- Henderson, City of
- Las Vegas, City of
- North Las Vegas, City of
- Mesquite, City of
- Pahrump, Town of
- Reno, City of
- Sparks, City of
- Tonopah, Town of
- Wells, City of
- West Wendover, City of

#### **Counties**

- Carson City, Nevada
- Churchill County, Nevada
- Clark County, Nevada
- Douglas County, Nevada
- Eureka County, Nevada
- Humboldt County, Nevada
- Nye County, Nevada
- Storey County, Nevada
- Washoe County, Nevada
- White Pine County, Nevada

Geographic Information Systems (GIS): 6 Regulatory / Licensing Boards: 58

#### DIGITAL OPERATING AGREEMENT

SilverFlume offers a no-cost digital operating agreement (Digital OA) to support startup businesses with corporate governance at www.nvsilverflume.gov/digitaloa. Businesses have used Digital OA for more than 13,050 online operating agreements to-date.

Launched in 2012, Digital OA is due to be modernized and cuttingedge business concepts and technologies are being assessed to upgrade Digital OA in line with strategic initiatives.

# OFFICE OF THE SECRETARY OF STATE

# **ELECTIONS DIVISION**

#### **OVERVIEW**

The Secretary of State is the Chief Officer of Elections in Nevada and is responsible for the execution and enforcement of all provisions of state and federal law relating to elections in the State (NRS 293.124). Through the Elections Division, the Secretary of State assists in the administration of elections in Nevada, enforces state and federal election laws, and provides technical information to the public and other interested parties.

The Elections Division oversees candidate filings, prepares forms and documents, recommends legislation and regulations relevant to the electoral process, responds to election-related complaints, certifies mechanical voting systems, oversees the filing of candidate Contributions and Expenses (C&E) reports and Financial Disclosure Statements (FDS), enforces laws related to Political Action Committees (PACs), and provides information to the public regarding candidates and elections. The Division publishes informational election materials that are available to the public at no charge. These publications include: Election Information Guide, Campaign Guide, Initiative and Referendum Guide, Minor Party Qualification Guide, Recall Guide, Independent Candidate Guide, Financial Disclosure Statement Guide, Recount and Contest Guide, Title 24 Election Laws and Election Regulations, and various other fact sheets and calendars.

#### 2020 PRIMARY ELECTION

In late March 2020, the decision was made to conduct a mostly vote-by-mail primary election as a response to difficulties the county election officials were facing related to the COVID-19 pandemic. Due to the pandemic and the restrictions imposed by the Governor, the counties were not able to secure enough poll workers or polling locations to conduct a traditional election. Accordingly, all 17 county election officials sent a letter to the Secretary of State requesting that all voting precincts in their county be designated as mailing precincts. The Secretary of State granted all 17 requests.

All active registered voters were sent a mail ballot for the June 9, 2020 primary election (Clark County also sent a mail ballot to inactive registered voters). In total, over 1.8 million ballots were printed and mailed to Nevada voters. All counties also had at least one in-person voting location open during early voting and on Election Day in order to accommodate same-day voter registration and voters who needed to vote in person. In the end, 98 percent of all the ballots cast for the 2020 primary election were cast by mail, either by returning the ballot by mail or dropping the ballot off at a ballot drop-off location. The remaining 2 percent of ballots were cast in person during the 14-day early voting period or on Election Day.

Turnout for the 2020 primary election was the highest since the 2010 primary election. Of the over 1.6 million active registered voters in Nevada, a total of 481,172 (29.51 percent) voted in the 2020 primary election. This compares to turnout of 22.91 percent and 18.53 percent for the 2018 and 2016 primary elections, respectively. Compared to primary elections held in presidential election years only, turnout for the 2020 primary election was the highest since 1996.

#### 2018 GENERAL ELECTION

Turnout for 2018 general election was 62.4 percent, which was significantly higher than the 2014 midterm election but slightly lower than the 2010 midterm election. In 2014, turnout for the general election was 45.56 percent, while general election turnout in 2010 was 64.64 percent. In total, 975,980 voters out of over 1.5 million active registered voters cast a ballot during the 2018 general election. Of those who did vote, 56.79 percent early voted in person (554,242 voters), 34.23 percent voted on Election Day (334,080 voters), and 8.98 percent (87,658 voters) voted via absentee or mail ballot.

Prior to the 2018 election cycle, all 17 counties in Nevada purchased new voting machines using a combination of general funds from the Nevada Legislature and county funds. All counties except Carson City purchased a Direct-Recording Electronic (DRE) system with a Voter Verifiable Paper Audit Trail (VVPAT) from Dominion Voting Systems (DVS). Carson City purchased a Ballot-Marking Device (BMD) system with paper ballots and digital scanners from Election Systems and Software (ES&S). Both the DVS and ES&S systems have been certified for use in Nevada by the Secretary of State's office. All counties statewide used the new voting machines for both the primary and general election in 2018.

#### 2019 LEGISLATIVE SESSION

A number of bills related to elections were approved during the 2019 Legislative Session and subsequently signed into law by the Governor. The following is a brief summary of some these bills:

<u>Assembly Bill 50</u> – Filed by the Secretary of State and requires cities to hold municipal elections on the statewide election cycle in even-numbered years, beginning in 2022.

Assembly Bill 137 – Provides that, when a local election official establishes one or more polling places within the boundaries of an Indian reservation or Indian colony, the election official must continue to establish polls at a location or locations approved by the Indian tribe during early voting or on the day of any primary or general election unless the tribe requests otherwise.

Assembly Bill 345 – Allows for voter registration by an eligible person at a polling place during early voting or on Election Day (known as same-day voter registration). The bill also provides that an absentee ballot will be counted if it is postmarked on or before Election Day and is received by the county election official within seven days after the election. The measure also establishes procedures related to automatic voter registration (AVR) at the Department of Motor Vehicles (DMV).

Assembly Bill 431 – Provides that any Nevada resident who is convicted of a felony is immediately restored the right to vote upon the individual's release from prison. There is no waiting period or action required by the individual. The restoration of voting rights is automatic and immediate upon the individual's release from prison, regardless of the category of felony committed or whether the individual is still on either parole or probation.

Senate Bill 123 – Implements various clean-up provisions in statute related to election laws and makes various changes to election security. Regarding election security, the bill requires the Secretary of State to conduct a pilot program to implement risk-limiting audits in Nevada, requires all local election officials and staff to annually take information security awareness training, and requires all local election officials to report to the Secretary of State if there has been an attack or attempted attach on the security of an election information system.

Senate Bill 450 – Revises various provisions relating to the recall of public officers. The bill requires the cost of recall petition signature verification to be borne by the recall proponents; requires all signatures (not just a sample) to be verified on all recall petitions except recall petitions for a statewide officer; requires the recall proponents to submit all signatures gathered to date at the midpoint of the signature gathering period; and establishes contribution limits for special recall elections that are separate from the regular contribution limits.

Senate Bill 557 – Makes various changes relating to campaign finance laws. The bill creates a definition of "personal use," clarifies that it is unlawful for a candidate to use campaign contributions to pay himself or herself a salary, and increases the maximum penalty that may be imposed for each violation of Nevada's campaign finance laws from \$5,000 to \$10,000.

#### **EARLY VOTING**

Early voting continues to be the most popular voting method for Nevada voters. During the 2018 general election, 56.79 percent, or 554,242 of the total turnout of 975,980 voted during the early voting period. This percentage was even higher in the State's most populous county, Clark County, with early voters making up 58.43 percent, or 382,659 of the total turnout of 654,919 voters. The election in which the highest percentage of voters early voted was the 2016 general election, when 62.41 percent of all voters who cast a ballot did so in person during the early voting period.

#### THE ELECTION INTEGRITY TASK FORCE

Established in 2008 by former Nevada Secretary of State Ross Miller, and continued by current Secretary of State Barbara Cegavske, the Election Integrity Task Force (EITF) is a multijurisdictional task force comprised of the Secretary of State's office, the Nevada Attorney General's office, the Federal Bureau of Investigation (FBI), the U.S. Attorney's Office, and various local law enforcement agencies. The EITF investigates complaints regarding questionable voter registration practices, potential voter fraud, and the violation of laws regarding voter intimidation. The FBI and the U.S. Department of Justice have identified the EITF as a national model for responding to election-related complaints.

Since 2008, EITF members have investigated numerous allegations of election law violations, several of which have been successfully prosecuted.

#### HELP AMERICA VOTE ACT

The Help America Vote Act of 2002 (HAVA) was enacted by congress and signed into law on October 29, 2002. HAVA was a response to the irregularities in voting systems and processes unveiled during the 2000 presidential election. To address these irregularities, HAVA provided federal funding to the states to implement a statewide voter registration system, replace punch card voting machines, improve voter education and poll worker training, require provisional ballots, and require at least one voting machine per polling place be available to voters with disabilities.

Between 2004 and 2016, Nevada received approximately \$23 million in federal funds from HAVA. In March 2018, the consolidated Appropriations Act of 2018 was signed into law, which included \$380 million in grants to the states under HAVA. Nevada's total award was \$4.5 million, which must be used to improve the

administration of elections for federal office, including to enhance technology and make certain election security improvements. In January 2020, an additional \$4.8 million in HAVA grant funds was awarded to the Secretary of State's office for the improvement of the administration of federal elections. These two grants mark the first new appropriations for HAVA grants since federal Fiscal Year 2010. For more information on HAVA and the Nevada State Plan, visit the Election Center of the Secretary of State's website, <a href="https://www.nvsos.gov">www.nvsos.gov</a>.

#### STATEWIDE VOTER REGISTRATION SYSTEM

HAVA mandates that all states have a single, uniform, official, centralized, and interactive computerized statewide voter registration list to serve as the single system for storing and managing the official list of registered voters throughout the state. Nevada's statewide voter registration system (NevVoter), which became operational in the spring of 2006, is maintained by the Secretary of State's office.

Eligible citizens register to vote with their respective local election official, and the local election officials then upload their voter registration files to the NevVoter system nightly. The NevVoter system electronically sends the voter registration records for verification against the Department of Motor Vehicles' and the Office of Vital Statistics' databases to identify those persons who may potentially be ineligible to vote according to federal and state law. Upon successful verification of the voter, the person is then added to the eligible voter list in the NevVoter system.

## Active Registered Voters in Nevada by Party January 2012 to August 2020

	Active Registered				
Date	Voters	Democrat	Republican	Nonpartisan	Other
Jan. 2012	1,082,705	447,881	400,310	172,463	62,051
Jan. 2013	1,302,562	548,069	447,500	228,713	78,280
Jan. 2014	1,193,194	493,929	416,015	210,242	73,008
Jan. 2015	1,231,658	488,705	424,791	238,812	79,350
Jan. 2016	1,203,905	471,342	423,308	234,229	75,026
Jan. 2017	1,505,075	595,329	498,013	314,450	97,283
Jan. 2018	1,468,671	567,767	492,190	313,421	95,293
Jan. 2019	1,590,047	609,841	530,972	349,701	99,533
Jan. 2020	1,601,889	610,911	527,641	362,855	100,482
Aug. 2020	1,682,436	639,665	548,129	390,084	104,558

# ADVISORY COMMITTEE ON PARTICIPATORY DEMOCRACY

The Advisory Committee on Participatory Democracy (ACPD) was first established in 1997 by the Legislature under the Office of the Department of Cultural Affairs but was transferred to the Secretary of State's office in 2003. The ACPD consists of not more than 10 members, including the Secretary of State or his or her designee and not more than 9 other members appointed by the Secretary of State. The committee's purpose is to assist the Secretary of State in identifying and proposing programs that promote citizen participation in governance, select recipients of the "Jean Ford Democracy Award" to honor citizens who promote participatory democracy in Nevada, and work with partner organizations at the local, state, and national level to increase voter participation. The laws regarding the ACPD are codified in NRS 225.200 through NRS 225.270, inclusive.

Secretary Cegavske reinstituted the ACPD after statutory amendments were made by Assembly Bill 465 of the 79th Session of the Nevada Legislature (2017). The legislation shortened the term of appointment to the ACPD and reduced the required frequency of meetings of the committee. Secretary Cegavske has appointed eight members to serve, each of whom were highly qualified and motivated to serve. The ACPD took on several tasks utilizing their professional skills and community network of resources. Highlights of the ACPD's first term have been revisions to the Voter Registration Toolkit for Businesses, input on recommendations for September 2018 National Voter Registration Month, expanding outreach for promotion of the Vote to Honor a Service Member program, and communication regarding how to participate in the Nevada Student Mock Election to every superintendent and high school social studies curriculum coordinator in the State. In 2019, the ACPD honored four individuals with the Jean Ford Democracy Award.

#### **ELECTION SECURITY**

In January 2017, former U.S. Department of Homeland Security (DHS) Secretary Jeh Johnson designated election infrastructure in the United States as critical infrastructure. In doing so, he declared, "Now more than ever, it is important that we offer our assistance to state and local election officials in the cybersecurity of their systems. Election infrastructure is vital to our national interests, and cyber-attacks on this country are becoming more sophisticated, and bad cyber actors – ranging from nation states, cyber criminals and hacktivists – are becoming more sophisticated and dangerous."

Election security is an extremely important issue that has received significant public and media attention over the last several years. Election security has always been an important issue for the Secretary of State's office, but recent attempts by malicious actors to gain unauthorized access to election systems and influence elections in this country have caused the office to put even greater emphasis on security in order to ensure elections in Nevada are secure, transparent, accessible, and fair.

In March 2018, the Secretary of State's office received a \$4.5 million HAVA Election Security Grant to improve the administration of elections for federal offices and to enhance technology and make certain election security improvements. In January 2020, and additional \$4.8 million was awarded to the Secretary of State's office through the HAVA Election Security Grant. Among other things, the Secretary of State's office is using this funding to add two new security-focused positions to the Elections Division, purchase an Intrusion Detection System (IDS) for all 17 county election offices, enhance post-election auditing, and make security upgrades to the statewide voter registration system. Full details of Nevada's HAVA Election Security Grant Spending Plan can be found on the U.S. Election Assistance Commission's website at www.eac.gov.

Over the last three years, the Secretary of State's office also sought and was awarded three competitive grants though the Nevada Division of Emergency Management. This grant will increase the cybersecurity of county voter registration networks through IDS and netflow monitoring and analysis that provide users with a near real-time automated process that identifies and alerts on traditional and advanced threats on a network.

#### **ELECTION PERFORMANCE INDEX (EPI)**

After every federal election, the Election Data and Science Lab at the Massachusetts Institute of Technology (MIT) compares election administration policy and performance in all 50 states and Washington, DC in order to produce the Elections Performance Index (EPI). The EPI is made up of 17 indicators, including voting wait time, voter turnout, and mail ballots rejected. The goal of the EPI is to help policymakers, election administrators, and other citizens compare the performance of elections across states and time and identify potential problem areas that need to be addressed. Additional information **EPI** is about located https://elections.mit.edu/#/data/map.

For the 2018 general election, Nevada ranked 14th out of 50 states and Washington, DC in the administration of the election. Nevada's composite score was 80 percent, which was 6 percentage points higher than Nevada's composite score for the 2014 general election and 12 percentage points higher than the state's composite score for the 2012 general election. Nevada scored particularly well on disability- or illness-related voting problems, the number of mail ballots accepted, and the return rate of military and overseas ballots. The State also scored well on the availability of election-related technology, such as online voter registration and voting information lookup tools.

#### **AUTOMATIC VOTER REGISTRATION**

At the 2018 general election, the voters of Nevada approved the Automatic Voter Registration (AVR) Initiative, also known as Question 5, by a margin of 60 percent in favor to 40 percent opposed. The AVR Initiative required the Secretary of State, Department of Motor Vehicles (DMV), and county election officials to work cooperatively to establish a system whereby eligible individuals who obtain a driver's license or identification card from the DMV or renew a driver's license or identification card are automatically registered to vote unless the individuals affirmatively opts out of registering to vote. The Initiative also required voter registration data collect by the DMV to be securely electronically transmitted to the Secretary of State and county election officials.

AVR went live on January 1, 2020, and through the end of August 2020 over 253,000 AVR transactions have been transmitted from the DMV to Nevada election officials. Of the total number of AVR transactions, 66 percent have been for individuals who were already registered to vote, while 34 percent represented new voter registrations. Starting in mid-March through July, the number of AVR transactions performed at the DMV decreased sharply as a result of the COVID-19 global public health crisis. However, daily AVR transactions in August 2020 are beginning to approach prepandemic levels.

# OFFICE OF THE SECRETARY OF STATE

# SECURITIES DIVISION

The Securities Division's mission is to protect Nevada investors from securities fraud by licensing investment professionals, registering securities offerings, enforcing the State's securities law, and educating the public through community forums, presentations and the distribution of publications.

In addition to performing securities investigations, the Division's criminal investigators conduct corporate filing and election fraud related investigations in their capacity as sworn peace officers. The Division recorded annual General Fund revenue of \$29,799,349 in Fiscal Year 2019 and \$30,131,560 in Fiscal Year 2020. These revenues resulted from licensing, registration, inspection and miscellaneous fees, but do not include monies paid as civil fines.

#### **LICENSING**

Nevada law generally requires that athletes' agents, transfer agents and any person engaging in the offer or sale of securities, or who provides investment advice for compensation, be licensed with the Nevada Securities Division. Generally, firms conducting business as an investment adviser with more than \$100 million in assets under management register with the Securities and Exchange Commission (SEC) as a Federal Covered Adviser. Firms conducting business in the State of Nevada with less than \$100 million in assets under management must register with the Secretary of State's Securities Division, or be exempt from that registration. A representative of a Federal Covered Adviser with a place of business in Nevada must be licensed by the Division or exempt from licensing.

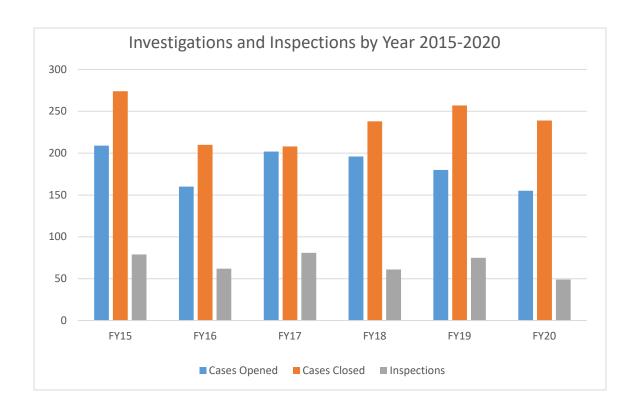
## **REGISTRATION**

Companies seeking to offer securities for sale in the State of Nevada must register their offerings with the Division, or comply with an exemption from registration in the Securities Act.

# LICENSING AND REGISTRATION STATISTICS FISCAL YEARS 2015-2020

FISCAL YEAR END	2015	2016	2017	2018	2019	2020
Broker-Dealers	1,731	1,691	1,617	1,617	1,592	1,557
Total Investment Advisers	1,460	1,507	1,540	1,579	1,642	1,695
Sales Representatives	135,724	141,230	144,009	149,675	154,601	159,618
Investment Adviser Reps	4,129	4,294	4,530	4,648	4,701	4,862
Athletes' Agents	11	9	11	14	16	7
Agents of Issuer	18	38	102	92	93	110
Branch Offices	1,249	1,293	1,307	1,283	1,288	1,261
Registrations	100	97	72	65	46	39
Exemption Filings	11,129	10,986	11,473	12,945	11,254	10,356

#### CASES OPENED AND CLOSED/ NUMBER OF INSPECTIONS



## **ENFORCEMENT STATISTICS: FISCAL YEARS 2019-2020**

Enforcement Statistics: Fiscal Years 2019-2020			
	FY 2019	FY 2020	
Active cases at the start of FY	372	248	
Cases opened	180	155	
Cases pending at the end of FY	248	165	
Criminal convictions	2	0	
Criminal charges	7	22	
Restitution ordered to be paid to victims in criminal cases	\$900,200.00	\$0.00	
Restitution collected in criminal cases	\$1,865.92	\$600.00	
License revocations / Orders denying licensing / Suspension of licensing	3	3	
Consent orders	12*	8*	
Cease and desist orders	3**	5**	
Civil penalties	\$542,245.00	\$90,928.00	
Ordered restitution or rescission offers accepted or other remediation to investors	\$499,000.00	\$1,000.00	
Number of inspections	75	49	
Inspection fees collected and regulatory investigation costs recovered	\$77,396.00	\$63,288.00	
Annual revenue	\$29,799,349.00	\$30,131,560.00	

<sup>\*</sup> The majority of Administrative Consent Orders include a Cease and Desist Order, which are not counted in this column; \*\* This number does not include filed summary orders to cease and desist, which number is not included in this report because the final status of those orders are pending hearing or other resolution.

The Securities Division conducts routine and for-cause periodic inspections of Nevada's broker-dealers and investment advisers in order to ensure compliance with the statutory requirements for conducting a securities business in the State of Nevada. Division investigators also review the securities firms' procedures for compliance with SEC rules and the FINRA conduct rules.

In order to ensure the Division employees are prepared in the performance of their duties, investigators and other staff members participated in various training programs offered by the National Criminal Information Center (NCIC), the North American Securities Administrators Association (NASAA), and other law enforcement agencies.

#### ATHLETES' AGENTS

The Nevada State Legislature adopted the Revised Uniform Athlete Agents Act during the 2017 Legislative Session. Among other things the revised Act broadened the definition of an athlete's agent and it now includes not only anyone who directly or indirectly recruits or solicits a student athlete to enter into a contract of agency, but also includes those who for compensation advise an athlete related to their finances, business pursuits, business affairs or career management. At the close of Fiscal Year 2019, 16 agents were registered or renewed their license. At the close of Fiscal Year 2020, 7 agents were registered or renewed their license.

#### INVESTOR EDUCATION AND PUBLIC OUTREACH

The Secretary of State's Securities Division staff makes several community presentations concerning the detection and avoidance of fraudulent investment schemes. Additionally, the Division publishes informative materials that are made available to the public on the Secretary of State's website (nvsos.gov), or by request.

Working with resources provided by the Investor Protection Trust (IPT), the Secretary of State distributes a series of booklets covering various aspects of investor education.

#### 2019 LEGISLATIVE SESSION

During the 2019 Legislative Session, no bills were introduced or adopted that impacted the Division. However, during the 2017 Legislative Session, Senate Bill 383 imposed a statutory fiduciary duty on broker-dealers and investment advisers and their representatives, which was codified in NRS 90.575. The Division issued proposed rules interpreting NRS 90.575 that have not yet been made final.

## **NOTARY DIVISION**

The Secretary of State's Notary Division is responsible for appointing, training, and regulating notaries public in the State of Nevada. There are over 25,000 notaries public who serve the residents of Nevada by providing such services as taking acknowledgements, executing jurats, administering oaths and certifying copies. During Fiscal Years 2019 and 2020, 11,221 notary appointments were granted. Notaries public serve in law firms, title companies, banks, government offices, and other private businesses.

The Notary Division is also responsible for providing authentication of notary signatures, known as apostilles or certifications, which are typically used to authenticate documents to be presented in foreign countries. Apostilles and certifications can only be issued by the Secretary of State's office. During Fiscal Years 2019 and 2020, the Notary Division issued over 30,000 apostilles.

#### **WEBSITE**

The Notary Division's information is available on the Secretary of State's website, www.NVSOS.gov - including all notary forms and applications, information on how to become a notary public, an explanation of notary duties and commonly asked questions. The ease and availability of pertinent information to clients has helped the Notary Division maintain a five-day turnaround for signature authentication and a seven-day timeframe for the processing of notary applications. The Division's notary application process was modernized in 2020 and is available 24/7 online via the SilverFlume portal.

#### TRAINING

During Fiscal Year 2016, the Notary Division developed and implemented its online training program for new and renewing notaries public and thus virtually negated the need for live, in-person classes. This online course offers notary applicants and renewing notaries public a flexible option for training that allows them to take and complete their training according to their own schedules. Since its implementation in December of 2015, more than 37,893 Nevada notaries public and individuals seeking notary appointments have completed the course. As required by Assembly Bill 413 of the 2017 Legislative Session, the Division developed and launched training course for eNotaries Public in December of 2018. Since its implementation, 789 individuals have completed the eNotary training.

#### **NOTARY ENFORCEMENT & FINES**

By law, the Secretary of State's office is charged with imposing fines for violations of NRS Chapter 240. The average fine for a notary public who does not follow correct statutory procedures is \$200. Infractions such as failure to maintain a notary journal, a legal requirement since 1864, or notarizing the signature of a document signer who does not appear in-person are both subject to maximum fines of \$2,000. Fines collected by the Notary Division during Fiscal Years 2019 and 2020 totaled \$17,450 and \$5,075, respectively.

## **CUSTOMER SERVICE**

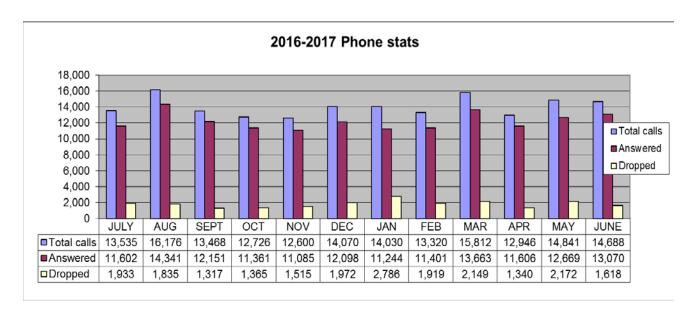
The Customer Service Division provides assistance to the general public, businesses, law firms, and other government agencies in a variety of forms and processes in person, by telephone, email or written request from both the Carson City and Las Vegas offices.

Customer service representatives are trained to assist customers with information in a wide variety of areas, including navigating the website and online filing processes, filing entities, fees, obtaining forms, online services through SilverFlume, Nevada's Business Portal, answering questions with general information and responding to complex inquiries and concerns. The Division also answers general questions about the Notary and Election Divisions or directs customers to the appropriate division within the agency. Although customer service representatives are prohibited by law to give legal advice, they are trained to direct customers to specific Nevada Revised Statutes that may address their issues.

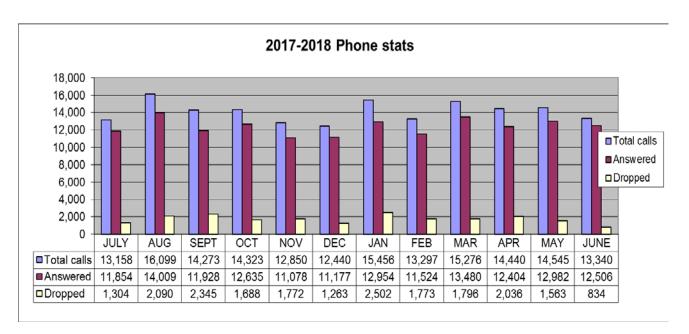
On a daily basis, our 12-person team typically answers 600 - 700 calls and 100+ email inquiries. In addition, the two offices assist an average of 150 walk-in customers per day. In FY 2020, the Customer Service Division experienced two major disruptions, resulting in unsurmountable increases in calls, emails and visitors. With the implementation of the new eSoS system in July 2019, the division saw an immediate spike in customer service calls, (13,808 in July to 25,989 in August), as well as in-person visitors (average 150 per day to average 854 per day from August to March).

In March 2020, in response to the COVID-19 pandemic, both offices suspended in-person services, requiring all customers to rely solely on the new online filing system and our customer service team. As a result of these two issues, the office observed an additional 37,000 phone calls and an increase of email traffic from an average of 400 per week to approximately 1,000 per week. Long hold times quadrupled our dropped calls from 14,831 in FY 2019 to 62,536 in FY 2020 and significantly lowered our call answer rate from 90.81% in FY 2019 to 69.55% in FY 2020.

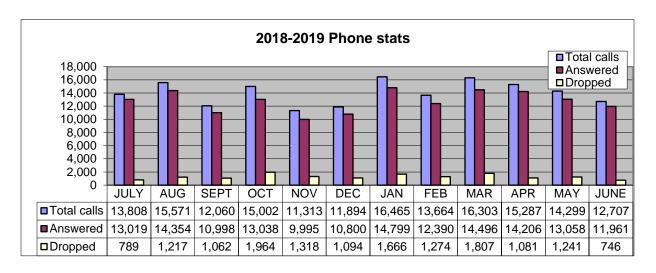
July 2016 to June 2020 Phone Stats



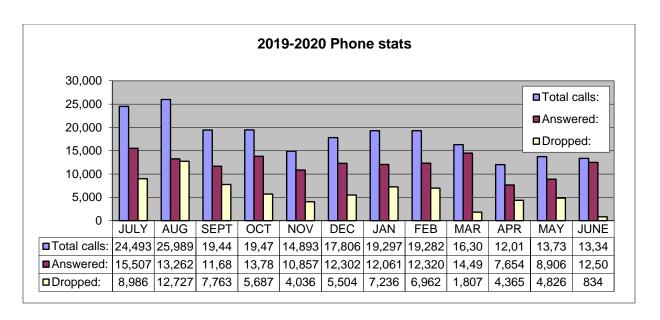
Total Calls: 168,212 Total Calls Answered: 146,291 Total Dropped Calls: 21,921 Answer Rate: 86.96%



Total calls: 169,497 Total Calls Answered: 148,531 Total Calls Dropped: 20,966 Answer Rate: 87.63%



Total calls: 168,373 Total Calls Answered: 153,114 Total Calls Dropped: 15,259 Answer Rate: 90.94%



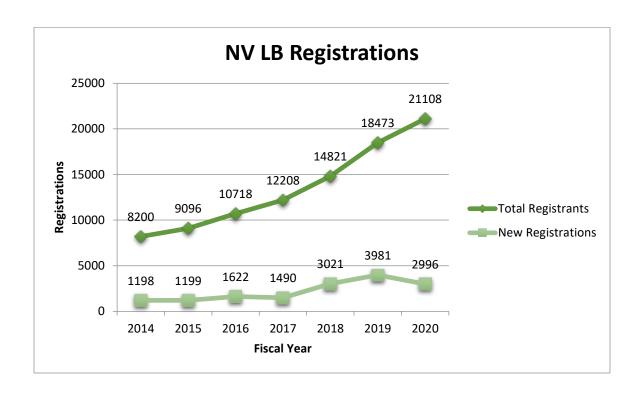
Total calls: 216,069 Total Calls Answered: 145,336 Total Calls Dropped: 70,733 Answer Rate: 67.26%

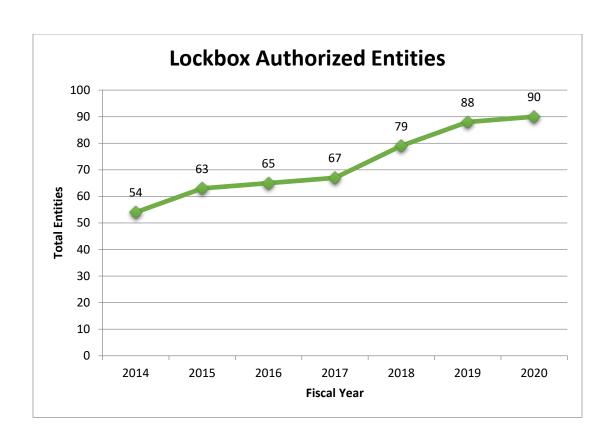
# **NEVADA (LIVING WILL) LOCKBOX**

The Nevada Lockbox (formerly Living Will Lockbox) is an electronic repository to store advance directives for healthcare, guardianship nominations and other documents and its services are available at no cost to Nevada residents. The Nevada Lockbox was established in 2007 and 2009, with amendments made in 2017, resulting in an additional and separate registry being created. The Nevada Lockbox is one virtual storage repository with two registries: Advance Directive Registry and Guardianship Registry. Advance Directives that may be filed in the Lockbox include a Living Will, Durable Power of Attorney for Healthcare Decisions, Provider Order for Life-Sustaining Treatment (POLST), Do Not Resuscitate orders (DNRs) and Advance Directive for Psychiatric Care. documents may be filed in the Lockbox, including a passport, a birth certificate, a marriage license or a will. The Lockbox is referenced in four chapters of law: NRS 225 - Secretary of State; NRS 449A -Care and Rights of Patients; NRS 159 - Guardianship of Adults; and NRS 77 - Model Registered Agents Act.

As of June 30, 2020, the Advance Directive Registry had 19,445 active registrants, up from 17,200 the year before. The Guardianship Registry had 3,064 active registrants at the close of fiscal year 2020, up from 2,200 the year before. Total number of active registrants in the Nevada Lockbox at the close of fiscal year 2020 was 21,108, up from 18,473 the year before. Registrants can file in either one of the Registries, or both.

Authorized Entities are individuals or organizations that, through an application process, have permission to access a Registry. Authorized Entities for the Advance Directive Registry are providers of healthcare that are registered with the program. Authorized Entities for the Guardianship Registry are the District Courts of the State of Nevada. Each Authorized Entity has an Administrative Contact who manages the entity's access to the Registry and ensures compliance with all policies and procedures for use of the Lockbox. As of June 30, 2020, the Lockbox had 90 Authorized Entities, up from 88 the previous year.





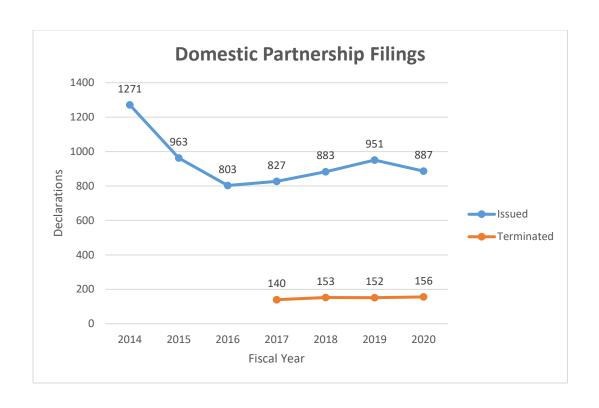
### DOMESTIC PARTNERSHIP PROGRAM

The Secretary of State processes domestic partnership filings and terminations and maintains the statewide Domestic Partnership registry.

Domestic Partnerships, established in 2009 by the Nevada Legislature, are civil contracts, which grant the same rights, protections, benefits, responsibilities, obligations and duties as any other civil contract. Assembly Bill 227 in 2017 removed the requirement for a domestic partnership to be registered in Nevada which was validly formed in another jurisdiction, and which is substantially equivalent to a domestic partnership as defined by NRS 122A.040, in order to be recognized in Nevada as a valid domestic partnership.

In fiscal year 2019, the Domestic Partnership program registered 951 partnerships and terminated 152 partnerships. For fiscal year 2019, non-general fund revenue of \$85,003 was generated by filings, terminations, expedite fees, additional certificates and ceremonial certificate fees.

In fiscal year 2020, the Domestic Partnership program registered 877 partnerships and terminated 156 partnerships. For fiscal year 2020, non-general fund revenue of \$74,648 was generated by filings, terminations, expedite fees, additional certificates and ceremonial certificate fees.



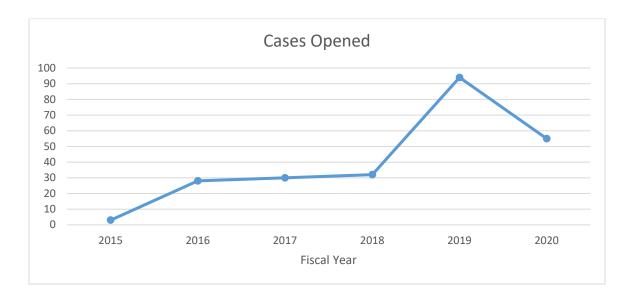
### **DOCUMENT PREPARATION SERVICES**

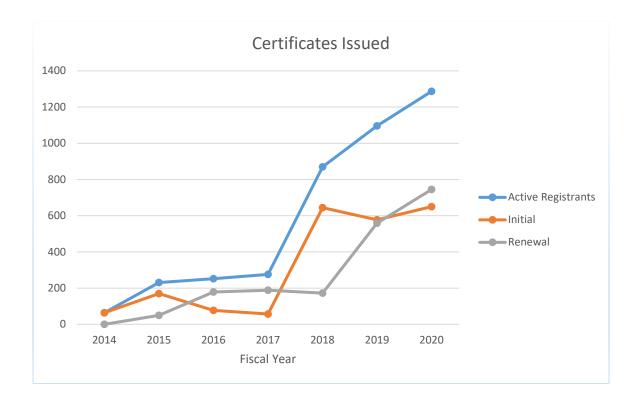
The requirement for registration to perform document preparation services took effect March 1, 2014. In 2019, statutory changes were made to the bonding requirement reducing the bond for a single person from \$50,000 to \$25,000 and establishing tiers for a business entity to hold a business bond based upon the number of employees covered by the bond. Enrolled Agents were exempted from the requirement to register as a document preparation service, but the requirement to register by an Annual Filing Season Record of Completion holder was clarified in law.

After an initial focus of the program on compliance with the requirement to register and hold a bond, the program has moved into enforcement for non-compliance. The one investigator for the program carries a significant caseload. In FY 2019, 94 new cases were opened and the caseload reached 161. In FY 2020, 55 new cases were opened and the caseload reached 171. Two civil actions concerning unregistered activity resulting in harm to consumers were referred to the Attorney General and are on-going matters in District Court. Recurring topics of investigations are unregistered activity, failure to perform services, unlicensed practice of law, immigration fraud and improper disclosures and advertising to consumers.

Registration has continued to increase. In FY 2019, 577 initial certificates of registration were issued and 559 (68%) registrants renewed. In 2020, 650 initial certificates were issued and 745 (69%) renewed during the FY. In 2020, 650 initial certificates were issued and 69% of registrants renewed during the FY.

Total number of registrants active at the close of FY 2019 was 1,096 and at the close of FY 2020 was 1,287. There are higher peaks of active registrants during tax preparation season January through May of each fiscal year.





# 2019 LEGISLATIVE IMPACTS – DOCUMENT PREPARATION SERVICES AND NEVADA LOCKBOX

# Assembly Bill 280 - Document Preparation Services - Effective May 25, 2019

Defines "business entity" and establishes six bond tiers for a business entity bond based upon the number of employees of the business entity. Reduces the bond for a single person from \$50,000 to \$25,000 and establishes a process for making a claim against a bond. The bill exempts enrolled agents from the requirement to register and clarifies that registration is required of tax preparers who are not exempt, including a preparer who holds an Annual Filing Season Program Record of Completion. The bill allows a registrant who is also a notary public in good standing in Nevada to use the term "notary public" in advertising. The bill requires the Secretary of State to deny the registration of an applicant who has had his or her appointment as a notary public suspended or revoked for cause in this state or another state. It authorizes the Secretary of State to suspend the registration of a registrant whose appointment as a notary public in this state has been suspended, and authorizes the registrant to be reinstated if the notary is reinstated.

# Assembly Bill 288 - Document Preparation Services (Third-Party DMV Services) - Effective October 1, 2019

Requires the Secretary of State to notify the Department of Motor Vehicles of the name of any registrant who is suspended or revoked or whose renewal was rejected, for the purpose of the DMV allowing a document preparation service to conduct transactions for clients.

# Senate Bill 223 - Guardianship Nomination - Effective October 1, 2019

Eliminates the declaration by a notary public on the guardianship nomination form that the person appearing before the notary appears to be of sound mind and under no duress, fraud or undue influence.

## **OPERATIONS DIVISION**

The Operations Division of the Office of Secretary of State manages the important internal functions including accounting, Human Resources (HR), Information Technology (IT), and administrative support to the Executive Team. The Deputy Secretary of State for Operations oversees all areas of the Operations Division.

#### INFORMATION TECHNOLOGY

The Secretary of State's Information Technology (SOSTek) team is comprised of 29 full-time professionals who support application development, network systems, databases, internet services and general internal technology needs. SOSTek serves as the technology backbone of the office, developing innovative solutions that serve both internal and external customers, offering online services, paperless solutions and streamlining of workflows.

The Project Management Office (PMO) of SOSTek employs industry standard methodologies as defined by the Project Management Book of Knowledge (PMBOK), the Business Analyst Book of Knowledge (BABOK) as well as other industry standards in the management and guidance of information technology solution development projects required by the office. At any given time, the PMO team manages between 4 and 10 IT-related projects for the agency.

SOSTek supports 69 software programs and 34 Web services and manages over 500 development, test, User Acceptance Testing (UAT), and production databases. The applications, services, and databases are all part of the SOSTek infrastructure with over 290 servers, and more than 500 terabytes of storage capacity. All applications, databases, and Web services are maintained in strict adherence with the State's security policies, with even more stringent security measures implemented where necessary. SOSTek leverages the State's multi-tiered security architecture to control access to servers and systems and combines those protections with a multi-tiered application development strategy that further isolates critical data and business logic from exposure. Although there are many applications in use by SOS staff, our customers and Nevada citizens, following is a list of the most widely used SOS applications and services.

#### SoS IT Applications and Services Available to the Public

#### **BUSINESS SERVICES**

- Online Trust Account System
- Online Services Account Management
- Bulk Data Downloads
- Registered Securities Broker-Dealers

#### **ELECTIONS**

- Aurora Campaign Finance
- Online Voter Registration
- Political Action Committee Reports
- Candidate Services
- Election Night Reporting
- Abstract of Vote Search
- MvVoterFile
- Statewide Voter Registration List
- Bulk Data Downloads
- Effective Absentee System for Elections (EASE) – voter registration and electronic ballot delivery and marking for voters residing outside their county
- Student Mock Election

#### **GENERAL SERVICES**

- Official nvsos.gov Website
- Living Will Lockbox
- Notary Application
- Notary Training
- Statewide Notary List
- Suspended Notaries
- Document Preparation Services Application
- Document Preparation Services Entity Search
- SoS Subscription Service
- Marriage Officiant Database

SOSTek provides general office technical support and training to our employees and is responsible for drafting and maintaining approximately 260 internal and external paper and electronic forms used by the office to service our customers and constituents.

With nearly 180,000 unique visitors every month, www.nvsos.gov offers a variety of electronic services and public and business-related information. This key tool has allowed Nevada business owners and their representatives, international customers, political candidates and observers, and the general public to access, at any time, information and business functions provided by the Secretary of State's office.

The Secretary of State's election results website, silverstateelection.nv.gov, provides election summary results from all seventeen counties, enabling the press, candidates for office and the public to see which candidates and ballot measures won any given contest. In the month of June, 2020, over 17,000 users visited this site.

#### ACCOUNTING

Accounting is responsible for managing the office's annual budget expenditures and revenue. Accounting staff process daily bank deposits; reconcile accounts; oversee more than 580 customer trust accounts; process accounts payable and receivable; manage more than 28 vendor contracts and 16 interlocal contracts; develop the office's biennial budget request; prepare monthly and annual revenue analysis and reports; maintain and manage grant funds and reporting; establish and monitor the Secretary of State's internal controls; and prepare fiscal impact analysis for programs and proposed legislation. The Division works with the Legislative Counsel Bureau and the Governor's Finance Office to project and monitor General Fund revenues and satisfy various fiscal and revenue reporting requests.

#### **HUMAN RESOURCES**

The Human Resources Department recruits, develops, and retains a high performing and diverse workforce for a healthy, safe, and productive work environment for our employees at the Office of the Secretary of State. Currently the agency has 115 active employees and 140 full time equivalent positions. This small, but dynamic team is responsible for generating the third largest source of general fund revenue for the State.

The Office of the Secretary of State has offices in Northern Nevada located in Carson City and in Southern Nevada located in the City of North Las Vegas. The Secretary of State's office is continually trying to improve individual and organizational effectiveness within the agency and meet the ever-changing needs of the workforce.

## CIVICS EDUCATION AND OUTREACH

Civics education and outreach is an important part of the overall mission of the office. Secretary of State Cegavske and her staff participate in numerous events and presentations throughout the State each year. Staff participates in voter registration outreach at local public, private and Charter high schools each fall. Secretary Cegavske established the Helen J. Stewart Award in 2017 to recognize any Nevada high school that achieves Nevada voter registration of 85% or more eligible students in their senior class. The award has been presented eight times, with some schools repeating two and three times.

Secretary Cegavske has served as a member of the Education Commission of the States, and she was a Board Member for the National Center for Learning and Civic Engagement (NCLCE) from 2015 – 2017. In 2017, Secretary Cegavske became a Fellow with the Hunt Kean Leadership Institute, an organization that collaborates with senior-level political leaders with the focus on improving education throughout the country.

## **BIOGRAPHY OF BARBARA K. CEGAVSKE**



the role of Secretary of State.

Barbara Cegavske was elected as Nevada Secretary of State in 2014 and assumed office on January 5, 2015. She was elected to a second term in 2018. With more than three decades of combined public service and business small experience, Cegavske brings a unique blend business acumen and legislative expertise the Secretary of State's office.

Cegavske entered public service

in 1996 when she was elected to serve in the Nevada Assembly representing Clark County District 5 for three consecutive terms. In 2002, Cegavske ran for and successfully won a State Senate seat for Clark County District 8. She served three full terms before assuming

During her time in the Nevada Legislature, Cegavske assumed leadership roles as Co-Assembly Assistant Minority Floor Leader, Assistant Assembly Minority Whip, Senate Minority Whip, and Senate Assistant Minority Leader. She also chaired the Senate Committee on Legislative Operations and Elections for three legislative sessions and was Vice-Chair of the Senate Committees on Human Resources and Education; Human Resources and Facilities; and Legislative Affairs and Operations. In all, Cegavske served in 9 regular sessions and 13 special sessions of the Nevada Legislature.

Her accomplishments as Secretary of State include: working with the Legislature to procure funding for the replacement of Nevada's voting systems, expanding services provided by SilverFlume, Nevada's Business Portal; administering the 2016 Election which earned an Election Performance Index (EPI) of 6th in the country for election administration; establishing the Helen J. Stewart award recognizing Nevada's high schools achieving an 85% rate or higher in registering eligible voters in senior classes; increasing efficiency in the Criminal Investigation Unit of the Securities Division; implementing online training for notaries public; working closely with the judiciary in establishing the Guardianship Registry with the Nevada Lockbox program; and developing and implementing the overhaul of the electronic commercial recording system.

In 2020, the Secretary was actively involved with the Nevada Women's Suffrage 100 Year Anniversary Celebration as well as with the Complete Count Committee 2020 Census effort.

As a daughter of small business owners, Cegavske rolled up her sleeves and pitched in with her siblings after school and during summer vacations to help the family business. Her introduction to the free market system proved to be valuable firsthand knowledge when she and her husband Tim became owners of a 7-eleven franchise. Over the course of 13 years, the Cegavske faced daily challenges but also experienced the rewards of employing fellow Nevadans and contributing to the State's economy. They also learned about onerous regulations that placed burdens on their business and disincentives for a business to be able to grow and thrive.

Born and raised in Minnesota, Cegavske has been a proud Nevadan since 1976. She and her husband, Tim, have two sons, Adam and Bret, who graduated from UNR and UNLV respectively and are raising their own families in Las Vegas. Cegavske and her husband are the proud grandparents of six grandchildren.

#### **EXECUTIVE STAFF**

The Secretary of State's Executive Staff is comprised of Secretary of State Barbara Cegavske; Chief Deputy Scott Anderson; Deputy for Southern Nevada Gail Anderson; Deputy for Securities Erin Houston; Deputy for Operations Mark Wlaschin; Deputy for Commercial Recordings Kimberley Perondi; Deputy for Elections Wayne Thorley; Public Information Officer Jennifer Russell and Executive Assistant Maria Tello-Magana.

Each of the deputies is a sworn officer and is responsible for overseeing all functions of the Constitutional Office as well as managing their respective divisions and to carry out the duties of the Secretary of State as prescribed by law and the State Constitution.

**Scott W. Anderson** was named Chief Deputy Secretary of State in November 2014. He is responsible for implementing agency policies, carrying out Secretary Cegavske's mandates and policies,

administering the agency's budget, overseeing all agency personnel, and acting on behalf of the Secretary of State when necessary.

Mr. Anderson served as the Deputy Secretary of State for Commercial Recordings from September 1997 to his promotion to Chief Deputy in 2015. He served on the governing board for the International Association of Commercial Administrators (IACA) for seven years and

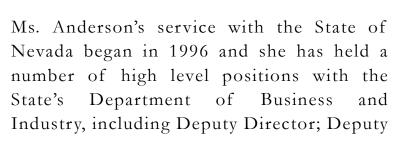


served as the IACA president in 2006. He also serves on the boards of directors and in advisory capacities for several nonprofit organizations.

Mr. Anderson is a Certified Public Accountant and prior to joining the Secretary of State's office, served as the Chief Financial Officer for a local manufacturing business. Mr. Anderson received his undergraduate degree in business administration from the University of Nevada, Reno.

Gail J. Anderson was appointed Deputy Secretary for Southern Nevada in January 2015. As deputy for Southern Nevada, she oversees the daily operation of the Las Vegas office, and is the

Secretary of State's liaison to the Southern Nevada community.



Commissioner, Division of Insurance; Administrator, Real Estate Division and Education Officer, Real Estate division. Ms. Anderson holds a Bachelor of Arts Degree in English from Seattle Pacific University and a Master of Education Degree from the University of Nevada, Las Vegas. Active in both the real estate and education communities, Ms. Anderson earned Emeritus status with the Association of Real Estate License Law Officials (ARELLO) in 2015, serves on the ARELLO Foundation Board of Directors, the trinity international schools board of directors and as director of the Trinity Educational Foundation.

Erin M. Houston, Esq. was appointed Securities Administrator and Deputy Secretary of State in January 2019. She oversees the Securities Division of the Secretary of State's office and is the chief regulator of

the securities industry in Nevada. The Securities Division pursues both criminal and civil penalties for violation of Nevada's securities law, and licenses firms and individuals engaged in the sale of securities, including those who provide investment advice for compensation.



Ms. Houston was promoted to her current position after serving as the Chief of Enforcement for the

Securities Division. She began her legal career working as a staff attorney for a statewide legal services organization where she represented indigent Nevadans in a variety of administrative and court proceedings. Most recently, she worked for a boutique law firm focusing on bankruptcy - representing both debtors and creditors—and also handled a variety of civil proceedings, with a wide range of experience in the practice areas of domestic relations, guardianship, estate planning, probate, and new entity formation.

She received her juris doctorate from the University of Oregon School of Law in 2008 and her undergraduate degree from the University of California, Los Angeles in 2000. She is an active member of the State Bar of Nevada. She is a native of southern California.

**Kimberley Perondi** was appointed Deputy Secretary for Commercial Recordings in September 2016. Deputy Perondi is responsible for overseeing the Division that processes and maintains the documents of more than 375,000 business entities on file with the Secretary of State's



office. She supervises staff in both the Carson City and the Las Vegas offices of the Secretary of State. She assists the Secretary of State in developing, reviewing and presenting legislation pertaining to the Commercial Recordings Division, working with legislators, the Business Law Section of the State Bar Association and the Nevada Resident Agents Association.

Ms. Perondi's public service career began in 1993 with the Nevada Department of Taxation. In 1997, she joined the Department of Administration and served in various roles, including Assistant Chief Procurement Officer for the Purchasing Division, where she managed large-dollar contracting activities and statewide procurement practices. After 18 years, Ms. Perondi moved to the Governor's Finance Office and worked as part of the education and workforce team in the oversight of Department of Education and Department of Administration budget development and management.

Ms. Perondi holds an Associate's Degree in General Business and is a graduate of the Nevada Management Academy Program. As former CFO of her family's property management corporation, as well as having owned her own business, Ms. Perondi brings a balanced perspective to her position of the needs of business owners and government.

Wayne Thorley is the appointed Deputy Secretary of State for Elections and is responsible for overseeing the Secretary of State's Elections Division and administering the state's election processes, including enforcing state and federal election laws and procedures. Mr. Thorley has

extensive knowledge on a wide range of electionsrelated topics including campaign finance, military and voting, overseas voter registration, initiative and referendum petitions, and voting systems. Mr. Thorley serves on the Executive Board of the National Association of State Election Directors (NASED) and is the Immediate Past Chair of the Board of the



Electronic Registration Information Center (ERIC). Mr. Thorley previously served as chair and a governor's appointee on the Nevada Public Employees' Deferred Compensation Committee.

Prior to joining the Secretary of State's Office, Mr. Thorley worked for the Nevada Legislative Counsel Bureau for six years where he held a variety of jobs from senior research analyst to fiscal program analyst. He has served a wide range of policy interests, including the Assembly and Senate Committee on Commerce and Labor; the Senate Committee on Energy, Infrastructure and Transportation; the Legislative Commission's Subcommittee to Review the U.S. Department of Labor's Report on the Nevada Occupational Safety and Health Program; the Legislative Committee for the Review and Oversight of the Tahoe Regional Planning Agency and Marlette Lake Water System; and the Task Force on K-12 Public Funding. Mr. Thorley attended the University of Nevada, Las Vegas for both undergraduate and graduate school where he studied economics.

Mark Wlaschin was appointed Deputy Secretary of State for Operations in October 2019 and is responsible for managing the internal functions of the Secretary of State's office such as the agency's budget, personnel management, information technology systems and facilities management.



Mr. Wlaschin retired after serving more than 20 years in the United States Marine Corps. His assignments included numerous command and staff positions; overseas deployments and postings including peace keeping operations in Kosovo, combat tours to Iraq; more than six years as a leadership and warfighting instructor; and four years in Okinawa, Japan during which he lead the planning

and operations for an organization of 500+ personnel. Prior to joining the Office of the Secretary of State, he worked at the Nevada Automotive Test Center (NATC) as the Facilities and Logistics Operations Manager. He holds a BA in History from the University of South Carolina, an MBA in Strategic Leadership from New England College, and is certified as a Project Management Professional (PMP).

**Jennifer A. Russell** serves as Public Information Officer for the Secretary of State and is responsible for handling media inquiries, public records requests, press releases and social media for the agency.



Ms. Russell spent the majority of her career working for her family's Hotel/Casino business in Carson City. She joined the Secretary of State's office in 2015 as Executive Assistant to the Secretary before being promoted to Public Information Officer. She hails from a prominent Nevada family and is the granddaughter of former Governor Charles H. Russell. A native of Northern Nevada, Ms. Russell earned her Bachelor of Arts Degree in

Communication Studies from the University of California, Santa Barbara, and her Master's Degree in Hotel Administration from the University of Nevada, Las Vegas.

Executive Assistant, Maria Tello-Magana, provides administrative support to the Secretary of State and Chief Deputy and manages all

functions of the executive office. Ms. Tello-Magana earned her Associate of Arts degree from Western Nevada College. She started her career in the Customer Service Division of the Secretary of State's office then served briefly for the Governor's office before returning to the Secretary of State in the role of Executive Assistant.



Revenue Source	Subject	FY 2019	FY 2020
GENERAL FUND SOURCE	DES		
3105 - UCC			
	8A-Financing Stmt	\$1,222,658.00	\$1,677,196.00
	8B-Change	\$653,010.00	\$817,406.00
	8D-Fed Tax Liens	\$59,220.00	\$52,260.00
	8E-Certifications	\$2,628.00	\$100.00
	8F-Form Sales	\$191,886.00	\$8,366.00
	8G-Correction Stmt	\$60.00	\$900.00
	8H-Info Request	\$268,320.00	\$488,177.00
TOTAL - UCC		\$2,397,782.00	\$3,044,405.00

3129 - NOTARY			
AP-	-Apostille	\$262,455.00	\$236,590.00
FT-	Notary Fines	\$17,450.00	\$5,075.00
EN	- E Notary	\$4,950.00	\$21,825.00
NY	-notary	\$234,600.00	\$195,324.00
Subtotal - NOTARY DIVISION SERVICES		\$519,455.00	\$458,814.00
cc	- Certified Copies	\$1,080.00	\$262.00
AK	-Acknowledgement	\$1,398.00	\$1,864.00
JU-	Jurat	\$1,798.00	\$1,142.00
OW	/-Oath	\$0.00	\$0.00
Subtotal - CUSTOMER SERVICE NO	TARY	\$4,276.00	\$3,268.00
TOTAL - NOTARY		\$523,731.00	\$462,082.00

3130 - COMMERCIA	L RECORDINGS		
	AM-Amendments	\$3,657,062.00	\$3,553,586.00
	CC-Copies	\$2,862,192.00	\$2,103,235.00
	CH-Charters	\$18,500.00	\$6,400.00
	DS-Data Download	\$70,638.00	\$74,016.00
	MS-Miscellaneous	\$39,504.00	\$610,508.00
	NF-New Filings	\$4,633,800.00	\$4,304,845.00
	NR-Name Reservation	\$75,150.00	\$114,435.00
	RA-Comm'l RA Listing	\$68,462.00	\$48,066.00
	ST-Status	\$61,240,014.00	\$58,528,664.00
	SU-Summons	\$16,110.00	\$330.00
	TM-Trademark	\$117,965.00	\$111,280.00
	UR-Unrefundable	\$645,304.00	\$63,968.00
	XX-GF Expedite Fees	\$2,697,090.00	\$3,070,706.00
Subtotal - COMMER	CIAL RECORDINGS	\$76,141,791.00	\$72,590,039.00

3131 - VIDEO SERVICE PROVIDER FILINGS						
	AM-Amendments / Certificates \$27,000.00 \$2,500.					
	NF-Applications	\$900.00	\$450.00			
TOTAL - VIDEO SERVICE PROVIDER FILINGS		\$27,900.00	\$2,950.00			

Revenue Source	Subject	FY 2019	FY 2020
3113 - BUSINESS LICENS	SE .		
	BL-Bus License	\$61,636,484.00	\$57,421,812.00
	BL-Business License (Corp)	\$44,869,160.00	\$42,067,050.00
Subtotal - BUSINESS LICENSE		\$106,505,644.00	\$99,488,862.00
	LF-Late Fees	\$3,730,500.00	\$3,342,725.00
TOTAL - BUSINESS LICE	NSE	\$110,236,144.00	\$102,831,587.00
TOTAL - COMMERCIAL R	ECORDINGS	\$186,405,835.00	\$175,424,576.00

3152 - SECURITIES			
	BD-Broker/Dealer	\$2,400	\$900
	BR-Branch	\$4,250	\$1,400
	EP-Exemptions	\$378,000	\$366,000
	IF-Inspection Fees	\$5,972	\$5,535
	IR-Invest Advisor Rep	\$10,890	\$8,580
	IV-Invest Advisor	\$3,600	\$1,500
	MS-Miscellaneous	\$0	\$0
	NA-No Action Letter	\$800	\$400
	RE-Registration	\$10,000	\$825
	SA-Sports Agents	\$500	\$500
	SL-Sales Rep	\$289,885	\$308,000
TOTAL - SECURITIES		\$706,297	\$693,640

3168 - ELECTIONS			
· ·	CF-Candidate Filing	-\$600.00	\$0.00
	CS-Copies	\$0.00	\$0.00
	MS-Miscellaneous	\$0.00	\$0.00
	VR-Voter Registration	\$0.00	\$0.00
	PE-Penalties	\$3,675.00	\$0.00
TOTAL - ELECTION	3	\$3,075.00	\$0.00

TOTAL GENERAL FUND SOURCES	\$219,705,782.00	\$209,173,955.00
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NON-GENERAL FUND SC	URCES		
1050 DOMESTIC PARTNE	RSHIP		
GL 3601	BW-Black and white Cert	\$1,505.00	\$1,195.00
GL 3601	CM-Ceremonial Cert	\$3,105.00	\$2,550.00
GL 3601	DP-Domestic Partnership	\$58,700.00	\$49,450.00
GL 3601	XX- Expedite Fees	\$3,700.00	\$2,400.00
GL 3601	TM- Terminations	\$7,645.00	\$7,600.00
GL 3601	AM- Amendments	\$45.00	\$15.00
GL 3601	CP-Copies	\$40.00	\$30.00
GL 3601	AB –Abstract Records	\$115.00	\$380.00
GL 3602	DO- Application Fee	\$41,600.00	\$44,150.00
GL 3603	DO- Renewal Fee	\$12,275.00	\$20,430.00
GL 4326	Interest Earned	\$10,148.00	\$11,028.00
TOTAL - DOMESTIC PARTNERSHIP		\$138,878.00	\$139,228.00
1050 LWLB DONATIONS		•	
GL <b>42</b> 51	Donations Received	\$0.00	\$0.00
TOTAL - LWLB DONATIO	NS	\$0.00	\$0.00

Revenue Source	Subject	FY 2019	FY 2020
1050 SECURITIES/MISC F	EES		THE PROPERTY OF THE PROPERTY O
GL 3271	Misc Program Fees	\$31,245.00	\$90,928.00
GL 3271	BL Miscellaneous	\$511,000.00	\$0.00
GL 3722	Fees Received	\$0.00	\$0.00
TOTAL - SECURITIES/MIS	SC FEES	\$542,245.00	\$90,928.00
TOTAL - B/A 1050		\$681,123.00	\$230,156.00
1051 HAVA			
GL 4326	Interest Earned	\$62,943.00	\$58,652.00
TOTAL - B/A 1051		\$58,652.00	\$58,652.00
1057 NOTARY TRAINING			
GL 4326	Interest Earned	\$3,864.00	\$3,398.00
GL 3895	Fees Received	\$379,695.00	\$238,990.00
GL 3895	EN-E Notary	\$4,590.00	\$720.00
TOTAL - B/A 1057		\$388,149.00	\$243,108.00
1059 SECURITIES FORFI	EITURES	\$0.00	\$1,611.00
TOTAL NON-GENERAL FUND SOURCES		\$589,970.00	\$442,598.00

TOTAL YTD REVENUES

\$220,295,752.00

\$209,616,553.00

	FY 2019					
	Appropriation/ Work Program	General Fund Expenditures	Non General Fund Expenditures	Total Expenditures	Amount Reverted / Balanced Forward	
Personnel Services	\$10,880,288.00	\$10,326,933.00		\$10,326,933.00	\$553,355.00	
Out of State Travel	\$12,226.00	\$9,130.48		\$9,130.48	\$3,095.52	
In State Travel	\$30,940.00	\$30,263.17		\$30,263.17	\$676.83	
General Operating	\$895,945.00	\$891,548.54		\$891,548.54	\$4,396.46	
Equipment	\$30,123.00	\$30,065.88		\$30,065.88	\$57.12	
Technology Investment Request	\$8,768,254.00	\$8,674,188.78		\$8,674,188.78	\$94,065.22	
Election Expenses	\$522,757.00	\$515,271.10		\$515,271.10	\$7,485.90	
Credit Card Discount Fees	\$3,171,870.00	\$3,108,399.83		\$3,108,399.83	\$63,470.17	
EASE Grant	\$180,598.00		\$49,162.00	\$49,162.00	\$131,436.00	
DOCPREP	\$71,640.00	\$5,585.18		\$5,585.18	\$66,054.82	
State Business Portal	\$774,285.00	\$535,255.12		\$535,255.12	\$239,029.88	
Information Services	\$1,039,702.00	\$1,003,717.10		\$1,003,717.10	\$35,984.90	
Training	\$29,965.00	\$23,889.04		\$23,889.04	\$6,075.96	
NDOT 800 MHZ Radios Cost Allocation	\$7,500.00	\$7,500.00		\$7,500.00	\$0.00	
Purchasing Assessment	\$7,885.00	\$7,885.00		\$7,885.00	\$0.00	
AG Cost Allocation	\$320,628.00	\$320,628.00		\$320,628.00	\$0.00	
State Cost Allocation	\$12,349.00	\$12,349.00		\$12,349.00	\$0.00	
Notary Training	\$470,249.00		\$109,265.00	\$109,265.00	\$360,984.00	
Voting Machine replacement	\$88,239.00	\$61,844.62		\$61,844.62	\$26,394.38	
Automatic Voter Registration	\$234,320.00	\$66,900.00		\$66,900.00	\$167,420.00	
Administration of Elections	\$673,964.00		\$318,546.91	\$318,546.91	\$355,417.09	
Homeland Security Grant	\$104,640.00		\$75,060.00	\$75,060.00	\$29,580.00	
Politing Place/ Election Day Equipment	\$14,639.00	\$0.00		\$0.00	\$14,639.00	
RADHC-FEES	\$13,098.00	\$0.00		\$0.00	\$13,098.00	
Forfeitures	\$99,533.00	\$99,533.00		\$99,533.00	\$0.00	
Securities in State Travel	\$34,296.00	\$26,454.23		\$26,454.23	\$7,841.77	
Securities Operating	\$169,594.00	\$168,439.20		\$168,439.20	\$1,154.80	
Securities Equipment	\$5,766.00	\$5,198.00		\$5,198.00	\$568.00	
Securities IT Services	\$5,766.00	\$5,198.08		\$5,198.08	\$567.92	

	FY 2020				
	Appropriation/ Work Program	General Fund Expenditures	Non General Fund Expenditures	Total Expenditures	Amount Reverted / Balanced Forward
Personnel Services	\$11,154,587.00	\$10,599,059.36		\$10,599,059.36	\$555,527.64
Out of State Travel	\$8,335.00	\$6,713.05		\$6,713.05	\$1,621.95
In State Travel	\$46,334.00	\$38,800.61		\$38,800.61	\$7,533.39
General Operating	\$1,092,312.00	\$1,070,383.00		\$1,070,383.00	\$21,929.00
Equipment	\$6,440.00	\$6,440.00		\$6,440.00	\$0.00
Election Expenses	\$60,868.00	\$51,832.21		\$51,832.21	\$9,035.79
Credit Card Discount Fees	\$2,962,181.00	\$2,927,713.45		\$2,927,713.45	\$34,467.55
Cyber Security Enhancement	\$19,830.00		\$18,300.00	\$18,300.00	\$1,530.00
DOCPREP	\$11,285.00	\$10,301.88		\$10,301.88	\$983.12
State Business Portal	\$431,077.00	\$289,493.43		\$289,493.43	\$141,583.57
Information Services	\$1,434,875.00	\$1,134,167.80		\$1,134,167.80	\$300,707.20
Training	\$8,865.00	\$6,167.37		\$6,167.37	\$2,697.63
NDOT 800 MHZ Radios Cost Allocation	\$7,050.00	\$7,050.00		\$7,050.00	\$0.00
Purchasing Assessment	\$10,729.00	\$10,729.00		\$10,729.00	\$0.00
AG Cost Allocation	\$196,754.00	\$196,754.00		\$196,754.00	\$0.00
State Cost Allocation	\$14,514.00	\$14,514.00		\$14,514.00	\$0.00
Notary Training	\$478,082.00		\$34,225.46	\$34,225.46	\$443,856.54
HAVA CARES Act Grant	\$4,496,720.00		\$1,769,327.00		
Voting Machine replacement	\$780,000.00	\$763,458.35		\$763,458.35	\$16,541.65
Automatic Votar Registration	\$523,922.00	\$455,804.90		\$455,804.90	\$68,117.10
Administration of Elections	\$2,316,242.00	\$885,905.91		\$0.00	\$2,316,242.00
Homeland Security Grant	\$91,980.00	\$0.00	\$91,980.00	\$91,980.00	\$0.00
Polling Place/ Election Day Equip	\$3,078.00	\$2,826.80		\$0.00	\$3,078.00
Forfeltures	\$108,933.00	\$0.00		\$0.00	\$108,933.00

### Secretary of State Nevada State Capitol Building 101 N. Carson Street, Suite 3 Carson City, NV 89701

Phone: 775-684-5708 Fax: 775-684-5725\*

Specific fax numbers may be found under Commercial & Recordings/ Elections or other appropriate category

#### COMMERCIAL RECORDINGS DIVISION

#### **Carson City**

202 North Carson Street Carson City, NV 89701 Phone: 775-684-5708 Fax: 775-684-5725

General Inquiries Email: sosmail@sos.nv.gov

#### Las Vegas (accepts expedite requests only & State Business License)

Customer Service Counter, 1st Floor Lobby 2250 Las Vegas Blvd. North, Suite 400 North Las Vegas, NV 89030

Phone: 702-486-2880 Fax: 702-486-2888

#### More Commercial Recordings Contact Information

#### SILVERFLUME, NEVADA'S BUSINESS PORTAL

101 North Carson Street, Suite 3 Carson City, NV 89701-3714 Phone: 775-684-5708

Email: <a href="mailto:support@nvsilverflume.gov">support@nvsilverflume.gov</a> Website: <a href="mailto:support@nvsilverflume.gov">www.nvsilverflume.gov</a>

#### **ELECTIONS DIVISION**

101 North Carson Street, Suite 3 Carson City, NV 89701-3714

Phone: 775-684-5705 Fax: 775-684-5718

Email: nvelect@sos.nv.gov

#### SECURITIES DIVISION

2250 Las Vegas Blvd. North, Suite 400

North Las Vegas, NV 89030 Phone: 702-486-2440 Fax: 702-486-2452

Email: nvsec@sos.nv.gov

#### **TRADEMARKS**

2250 Las Vegas Blvd. North, Suite 400

North Las Vegas, NV 89030 Phone: 702-486-2880 Fax: 702-486-2888

#### **EXECUTIVE OFFICE**

101 North Carson Street, Suite 3 Carson City, NV 89701-3714

Phone: 775-684-5709 Email: <a href="mailto:sosexec@sos.nv.gov">sosexec@sos.nv.gov</a>

#### **UNIFORM COMMERCIAL CODE (UCC)**

202 North Carson Street Carson City, NV 89701-4069 Phone: 775-684-7100

#### NOTARY DIVISION

202 North Carson Street Carson City, NV 89701-3714 Phone: 775-684-5708

Email: nvnotary@sos.nv.gov

<sup>\*</sup>Fax not to be used for commercial recording/election report filing